Item 1: Cover Page Part 2B of Form ADV: Brochure Supplement August 2022

Simone Liu



ADVISORS

9350 Wilshire Blvd, Suite 200 Beverly Hills, CA 90212 <u>http://www.aireadvisors.com</u>

Firm Contact: Amir Monsefi Chief Compliance Officer

This brochure supplement provides information about Ms. Liu that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Monsefi if you did not receive Aire Advisors, LLC's brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Liu is available on the SEC's website at <u>www.adviserinfo.sec.gov</u> by searching CRD #4953292.

Item 2: Educational Background & Business Experience

Simone Liu Year of Birth: 1980

Educational Background:

- 2021: Cheung Kong Graduate School of Business; Masters Degree in Business
- 2004: UCLA; Bachelor's of Arts Degree (BA) in Economics

Business Background:

- 08/2022 Present Aire Advisors, LLC; Senior Vice President/Head of Asia Pacific
- 01/2011 08/2022 Wells Fargo Advisors; Vice President/Registered Representative

Exams, Licenses & Other Professional Designations:

- 2007: Series 7, 63, 65, & 3 Exams
- 2007: CA Insurance Licensed, License #0F67760

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Ms. Liu.

Item 4: Other Business Activities

Ms. Liu is a licensed insurance agent. She may offer insurance products and receive customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Ms. Liu, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Ms. Liu does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Amir Monsefi, Chief Compliance Officer of Aire Advisors, LLC supervises and monitors Ms. Liu's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Monsefi if you have any questions about Ms. Liu's brochure supplement at (310) 277-2473.

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.